

# **Javeria Farooqi, Ph.D.**

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## **EDUCATION**

2018 CFA Institute: Passed CFA Level 1  
2010- 2014 University of Texas-Pan American (AACSB Accredited), Edinburg, Texas  
Ph.D. in Business Administration – Finance  
2008- 2010 University of Texas-Pan American (AACSB Accredited), Edinburg, Texas  
Masters in Business Administration – Finance  
2003- 2007 University of Texas-Pan American, Edinburg, Texas  
Bachelor of Arts in Journalism/Advertising

## **AREAS OF INTEREST**

Research: International diversification, Islamic Finance, Style Investing, Institutional Ownership, and Mutual Fund  
Teaching: Principles of Finance, Corporate, Investment, International Finance, Financial Administration, and Portfolio Management.

## **DISSERTATION**

Essays on Institutional Ownership and Firm Performance  
Defense Date: July 11, 2014

## **EXPERIENCE**

### **Florida Memorial University, August 2024 – Present: Associate Professor of Finance**

- Taught both graduate and undergraduate finance courses.
  - Principles of Financial Planning
  - Principles of Finance
  - Corporate Finance
  - Investments
  - Portfolio Management
  - Financial Management
- Provided personalized mentorship to finance students
- Developing online finance courses as part of an initiative to launch a fully online finance degree, aimed at increasing accessibility, expanding enrollment, and meeting the needs of non-traditional and remote learners.
- Redesigning the finance degree to align with student, community, and industry needs, with a focus on community wealth building and empowering minority communities through inclusive, career-relevant financial education.
- Collaborating on the GIFS (Graduate Institute of Financial Sciences) project team to design MBA and undergraduate finance certificate programs, aimed at expanding academic offerings for the South African market and generating significant institutional revenue.
- Serve as the faculty advisor for SFEPP (Society for Financial Education and Professional Development) Student Ambassadors, leading efforts to organize financial literacy events and develop relationships with local high schools to enhance financial education and increase enrollment opportunities.

### **Lindenwood University, June 2021- June 2023: RISE Scholar**

- Selected as a RISE (Rigor, Inclusiveness, Support, and Engagement) Scholar in recognition of commitment to teaching excellence, promoting growth, and supporting diverse learning.
- Fostered collaboration among colleagues to elevate teaching and learning experiences.
- Served as an advocate for the RISE framework, offering insights, suggesting resources, facilitating discussions, and promoting the exchange of best practices across disciplines.
- Enhanced teaching methodologies through rigorous analysis and inclusive practices, supporting diverse learner needs.
- Achieved notable improvements in teaching quality by embodying RISE values, positively impacting student engagement.
- I created and presented workshops on neurodiversity awareness, focusing on effective teaching techniques for supporting neurodiverse students, especially those with ADHD
- Served as an instructional course design consultant

### **Lindenwood University, August 2019- June 2024: Investment Advisor: University Retirement Investment Committee**

- Evaluated the investment options for the university's 403B retirement plan valued at \$37 million.
- Optimized the portfolio by removing and replacing sub-optimal investment options based on risk, performance, and diversification criteria
- Conducted quarterly reviews of the retirement plan investment options to assess performance and risk.

### **Lindenwood University, November 2018- June 2024: Finance Program Coordinator**

- Coordinated finance curriculum for graduate and undergraduate programs, ensuring alignment with evolving industry standards and trends
- Conducted annual program assessments, maintaining high academic standards through continuous evaluation and improvement of instructional materials.
- Partnered with faculty to design innovative finance courses, fostering a dynamic and inclusive educational environment.
- Developed data-driven strategies for curriculum enhancements, optimizing learning outcomes and student engagement.
- Lead the efforts in the creation of an online undergraduate finance degree, expanding access to education.
- Facilitated corporate partnerships, securing internship opportunities and fostering practical experience for finance students.
- Developed a long-term strategic plan for the finance department, focusing on curriculum modernization and increasing industry relevance.
- Driven to excel, I prioritize efficiency and quality, ensuring timely project completion and continuous improvement.

### **Lindenwood University, August 2021- June 2024: Associate Professor of Finance**

### **Lindenwood University, August 2015- 2021: Assistant Professor of Finance**

- Taught both graduate and undergraduate finance courses (Principles of Finance, Corporate Finance, Investments, Portfolio Management, Investment Applications, and Financial Policy), developed curriculum, and mentored students, enhancing academic performance.
- Established and oversaw a [\\$100,000 Student-Managed Fund](#), which has provided students with invaluable real-world experience in investment management, allowing them to apply theoretical knowledge in a practical setting.
  - Created Investment Policy Statement based on investment objectives and risk tolerance

- Rebalanced the portfolio to maintain the investment strategy
- Taught Security analysis and financial modeling in Excel
- Monitored portfolio performance to ensure optimal returns
- Prepared annual performance presentations for the University Board of Trustees
- Conducted research, published in peer-reviewed journals, contributing to finance literature.
- Collaborated with faculty on interdisciplinary projects, fostering a collaborative academic environment.
- Partnered with industry experts to offer practical workshops, bridging the gap between theory and practice.
- Implemented new assessment methods, improving student pass rates by 15-22% in finance courses.
- Developed advanced financial modeling workshops, enhancing students' analytical skills and increasing their job readiness.
- Reviewed and refined course materials, ensuring accuracy and relevance.
- Crafted and supervised finance shell courses for adjunct faculty.
- Facilitated collaboration between students and industry professionals, organizing seminars that enhanced practical finance skills.
- Provided personalized mentorship to finance students, leading to successful job placements.

### **The University of Texas-Pan American 2011-2012**

- Fall 2012: Instructor, Managerial Finance (FINA 3383: Managerial Finance)
- Spring 2012. Teaching Assistant to Dr. Andre Mollick (ECON 2302: Principles of Microeconomics)
- Spring 2012. Teaching Assistant to Dr. Thanh Ngo (FINA 6342: Investments)
- Fall 2011. Teaching Assistant Dr. Andre Mollick (ECON 2301: Principles of Macroeconomics)
- Spring 2011. Teaching Assistant to Dr. Thanh Ngo (FINA 6340: Financial Administration)

### **PUBLISHED PEER-REVIEWED PAPERS**

- Farooqi, J., Surendranath, J., and Ngo, T. (2019). "Target Firm Earnings Management, Acquisition Premium, and Shareholder Gains." *International Journal of Accounting*. Vol. 55, Issue 02.
- Farooqi, J., Surendranath, J., and Ngo, T. (2019). "Active Fund Managers and Earnings Management at Portfolio Companies." *Review of Accounting and Finance: Special Issue Financial Markets, Large Shareholders, and Corporate Governance*. Vol. 18 Issue: 3.
- Farooqi, J., Surendranath, J., and Ngo, T. (2017). "Real Activities Manipulation by Bidders Prior to Stock Swaps in Mergers and Acquisitions." *Review of Accounting and Finance*. Vol. 16 Issue: 3, pp.322-347.
- Farooqi, J., Huerta, D., and Ngo, T., (2015). Should you globally diversify or let the globally diversified firm do it for you? *Quarterly Review of Economics and Finance* 57C, 75-85.
- Farooqi, J., Chen, H., Huerta, D. and Ngo, T. (2015). "Momentum strategies in Shari'ah stocks: The role of debt." *Journal of Investing* 24(2), 90-111.
- Farooqi, J., Surendranath, J., and Ngo, T. (2015). "Institutional Investors' Activism and Credit Ratings." *Journal of Economics and Finance*. Vol. 39 Issue: 3, pp 1-37.
- Farooqi, J., Harris, O., and Ngo, T. (2014). Corporate diversification, real activities manipulation, and firm value. *Journal of Multinational Financial Management* 27, 130-151.

### **PRESENTATIONS AT PROFESSIONAL MEETINGS**

- Lindenwood University: Get Money Smart: St. Charles, Missouri, April 2024
  - Financial Activism
- Lindenwood University: Understanding Neurodiversity: Autism and Educational Strategies April 2024
- Lindenwood University: Understanding Neurodiversity: ADHD and Educational Strategies April 2024
- Lindenwood University: RISE Course Design Institute, St. Charles, Missouri, 2023:
  - How to Make Your Courses Rigorous
- Lindenwood University: Assessment Summit 2023, St. Charles, Missouri 2023:
  - [Empowering ADHD Students: Educational Strategies for Success at the 2023 Assessment Summit](#)

- Lindenwood University: Faculty Workshop Week 2022 St. Charles, Missouri 2022:
  - Engaged with CANVAS
- Lindenwood University: RISE Course Design Institute St. Charles, Missouri 2022:
  - How to Make Your Courses Rigorous
- Lindenwood University: PSB&E Scholarship and Professional Activities (SPA) Best Practices Forum, St. Charles, Missouri 2021: Game Stop: Short Squeeze
- NSU Halmos College of Arts and Sciences “Know Your Worth: Art + Design Lectures Series,” 2021
  - [Quantifying Value](#)
- Lindenwood University: PSB&E Scholarship and Professional Activities (SPA) Best Practices Forum, St. Charles, Missouri 2018:
  - Student Engagement
- Lindenwood University: TEDx Lindenwood University, St. Charles, Missouri 2017:
  - [Shattering Preconceptions](#)
- Lindenwood University: PSB&E Scholarship and Professional Activities (SPA) Best Practices Forum, St. Charles, Missouri 2016:
  - Teaching and technology: Overview of the UMSL Technology Conference.
- Academy of Economics and Finance, Mobile, Alabama, 2012:
  - “Examination of Mutual Fund Volatility in Different Industries” with Daphne Wang and Khoa Nguyen.
  - “Idiosyncratic Risks, Bailout, and Financial Crisis: A Copula Approach” with Daphne Wang and Khoa Nguyen.
- Southern Finance Association Meeting, Charleston, South Carolina, 2012:
  - “Opportunism or Optimism? Evidence from the Accruals and Long-Run Performance of Acquiring Firms”
  - “Individual Diversification versus Firm Diversification”
  - “Diversifying to Deceive”
  - “Momentum strategies in Shari’ah stocks: The role of debt.”
- University of Texas-Pan American Faculty Seminar Series, Edinburg, TX, 2011:
  - “Momentum strategies in Shari’ah stocks: The role of debt.”
- 33<sup>rd</sup> Annual Congress of the European Accounting Association, Istanbul, Turkey, 2010:
  - “The Impact of Implementation of Sarbanes-Oxley Act on Risk Premium and Return Volatility: a Kalman Filter Approach”

#### **ACADEMIC SERVICE AND ACTIVITIES**

- Spring 2022 – June 2024 – Faculty Development and Retention Committee – Promotions and Ranks Committee
- Spring 2023 – June 2024– [The Hammond Institute Faculty Fellow](#)
- Fall 2021 – Spring 2023 – [RISE Scholar](#).
  - <https://www.lindenwood.edu/files/resources/rigor-003-javeria-submission.pdf>
- Fall 2021 – June 2024– President’s Equity and Inclusion Council
- Fall 2021 – June 2024– Diversify the Pride Committee member
- Spring 2021 – Spring 2022 – Faculty Development and Retention Committee – Teaching and Learning Subcommittee
- Fall 2020 – June 2024– Plaster College of Business and Entrepreneurship Assessment Committee member
- Fall 2020 – Math Taskforce
- Fall 2019 – Fall 2020 – New Faculty Mentor
- Fall 2019 – June 2024– University Retirement Planning Committee: Faculty Representative

- Fall 2018- Spring 2019 - University Committee for Strategic Enrollment Planning (UC-SEP) Undergraduate Committee
- Spring 2018 – Fall 2018 – Diversity, Equity, and Inclusion Committee member at Lindenwood University
- Fall 2017 – June 2024– Faculty advisor and creator of Student Managed Fund (\$100,000) at Lindenwood University
- Spring 2017 – Fall 2019 – Faculty-Student Scholarship Committee (FSSC) Member
- Fall 2016 – Fall 2019 – Scholarship and Professional Activities (SPA) Member
- Fall 2016 – Chair of Faculty Scholarship Activity Committee (FSAC) for Plaster School of Business and Entrepreneurship (PSB&E)
- Fall 2012 – Chair of multiple sessions, Southern Finance Association, 2012.
- Fall 2012 – Discussant, Southern Finance Association, 2012.
- Fall 2012- Member of the Review Panel, Undergraduate Research Conference 2012.
- Research Development Course “SAS for Finance,” The University of Texas-Pan American, June 2011

### **PROFESSIONAL MEMBERSHIPS AND AFFILIATIONS**

- CFA Society of St. Louis
- Eastern Finance Association
- Southern Finance Association

### **ACADEMIC HONORS**

- Nominee, ACBSP Region 5 Teaching Excellence Award, 2024
- Nominee, Student Choice Faculty Member of the Year, 2023
- Nominee, Teaching Excellence Award, 2019.
- 2nd Place, Student Research Day Awards 2012. College of Business Administration. The University of Texas-Pan American.
- Texas Business Hall of Fame Scholarship – 2010 recipient
- VIP Star Scholarship – 2010
- Member of Beta Gamma Sigma - 2010
- Member of Phi Kappa Phi – 2010

### **OTHER EXPERIENCE**

- Knowledgeable in using online teaching resources, Blackboard, Connect, and Canvas
- Proficient in Microsoft Office
- Experienced in using STATA, SAS, and SPSS
- Experience with DataStream, EIKON, HOLT, and TIKR